



CLIENT INFORMATION SHEET

客戶資料書

Premier Q Securities Limited (SFC CE No. AAU948)
乾立亨證券有限公司 (證監會中央編號: AAU948)
Suite 801, 8/F, Central Plaza,
18 Harbour Road Wanchai, Hong Kong
香港灣仔港灣道 18 號中環廣場 8 樓 801 室

This form shall be read with Terms and Conditions of the Advisory Agreement with the same version code.
此表格須與投資諮詢協議內的條款及條件(版本編碼與本表格相同)一併閱讀。

Please tick the appropriate box 請在適當空格加 <input checked="" type="checkbox"/>	Application Date 申請日期	(fill in by Company 由公司填寫)
*Please delete whichever is inapplicable 請刪去不適用者		

Personal Information 個人資料

Personal Data

個人資料

1	Title 稱謂 <input type="checkbox"/> Mr. 先生/ <input type="checkbox"/> Miss 小姐/ <input type="checkbox"/> Mrs. 太太/ <input type="checkbox"/> Dr. 博士	
2	Name of Client 客戶名稱 (English 英文 Surname first 先寫姓氏) (Chinese 中文)	
3	Residential Address (P.O. Box not accepted) 住宅地址 (不接受郵政信箱)	
4	Correspondence Address (P.O. Box not accepted) 通訊地址 (不接受郵政信箱) (if different from above 如與上址不同)	
5	E-mail Address 電郵地址	
6	ID Card No/Passport No 身份證/護照號碼	Date of Birth 出生日期
		Nationality 國籍
7	Mobile Phone No. 流動電話	Home Telephone No. 住宅電話
8	Education Level 學歷程度 <input type="checkbox"/> Primary School 小學 <input type="checkbox"/> Secondary School 中學 <input type="checkbox"/> University or above 大學或以上	

Business / Employment Status 業務/工作狀況

1	Name of Employer 僱主名稱	
2	Nature of Business 業務性質	
3	Occupation / Position 職業/職位	
4	Years in Occupation 從業年期	
5	Office Phone No. 公司電話	
6	Employment Status 就業情況	<input type="checkbox"/> Self-employed 自僱 <input type="checkbox"/> Full-time employed 全職 <input type="checkbox"/> Part-time employed 兼職 <input type="checkbox"/> Retired 退休 <input type="checkbox"/> Other (Please Specify) 其他 (請註明): _____
7	Business Address 商業地址	

Anti-Money Laundering and Counter-Terrorist Financing 防止洗黑錢/恐怖分子籌資

1	<p>Do you linked to politically exposed persons? (Politically exposed person - individual who is or has been entrusted with prominent public function e.g. head of state/government, senior politician, senior executive of government-owned corporation, important political party official, etc.), or the closed members of the aforesaid person (including spouse, companion, children or parents, or spouse or companion of the children) and other closely connected person? 閣下是否擔任或曾擔任重要公職之政界人士(包括國家元首、政府首長、資深從政者、高級政府官員、司法或軍事官員、國有企業高級行政人員及重要政黨幹事)，或以上人士之家人(包括配偶、伴侶、子女或父母，或該名個人的子女的配偶或伴侶)及其他關係密切的人?</p> <p><input type="checkbox"/> No 不是 <input type="checkbox"/> Yes 是, Please specify 請註明</p> <p>_____</p>
2	<p>Is the nature of your (including any of the joint account holders and partners of a partnership firm) business particularly susceptible to money laundering/terrorist financing risk? (For example, money changer or casino business that handles large amount of cash)? 閣下(包括聯名帳戶各持有人及合夥帳戶之各合夥人)的業務性質/職業是否特別容易蒙受較高的洗錢/恐怖分子資金籌集風險或接觸大量現金往來(如貨幣兌換、博彩業、珠寶業、娛樂服務業)?</p> <p><input type="checkbox"/> No 不是 <input type="checkbox"/> Yes 是, Please specify 請註明</p> <p>_____</p>

Bank Account Information 銀行帳戶資料

Account Number 戶口號碼 :		Account Type : 帳戶類別:
Name of Bank 銀行名稱 :		
Address of Bank 銀行地址		
T/T SWIFT Code 銀行國際代碼 :		

Communication Method 通訊方法

Mailing Address* 郵寄地址* :	<input type="checkbox"/> Home Address 住宅地址	<input type="checkbox"/> Correspondence Address 通訊地址
	<input type="checkbox"/> Business Address 商業地址	<input type="checkbox"/> By E-mail 以電郵方式寄發
語言選擇 Choice of Language:	<input type="checkbox"/> English 英文	<input type="checkbox"/> Traditional Chinese 繁體中文
		<input type="checkbox"/> Simplified Chinese 簡體中文

Other Information 其他資料

Reason for Choosing Premier Q 閣下選擇乾立亨的原因:

Referral 親友轉介 Walk-in 自薦 Seminar 講座 Financial Platform 金融平台 Others 其他: _____

Personal Data Arrangement(s) 個人資料安排 (Applicable to Investment Advisory Agreement only 只適用於投資顧問服務之客戶)

Please provide E-mail address, Phone number and Social Media Platform for receiving information (if not stated in previous section):

請提供電郵地址, 電話號碼及社交媒體平台以收取資料(如之前部份未有提述): _____

Client's Consent to Use of Personal Data 申請人個人資料使用同意書

I/We have carefully read, fully understood and agreed to accept and be bound by the Notice and Statement Relating to the Personal Data (Privacy) Ordinance (Cap. 486 of the Laws of Hong Kong) as set out in Part V of the Client Agreement. In particular, 本人 / 吾等已仔細閱讀, 完全理解並同意接受並遵守載於客戶協議第五部份有關個人資料(私隱)條例 (香港法例第 486 章) 的通知及聲明, 由其是,

I / We hereby consent to the use of my/our client information for direct marketing directly related to the opening or continuation of my/our accounts with PQSL and the provision of financial services by Premier Q to me/us.

本人 / 吾等同意使用本人 / 吾等的客戶資料作為與本人 / 吾等於乾立亨證券開立或繼續維持帳戶, 及乾立亨向本人 / 吾等提供金融服務有關的直接促銷之用。

I / We hereby consent to the use of my/our client information for direct marketing by PQSL, its any Group Company or its Affiliates or other carefully selected financial, insurance or other service providers of other financial and insurance and/or services not directly related to the opening or continuation of my/our accounts with Premier Q and the provision of financial services by Premier Q to me/us.

本人 / 吾等同意乾立亨證券其任何集團或聯屬公司或其他仔細挑選之金融、保險或產品供應商使用本人 / 吾等的客戶資料作為直接促銷與本人 / 吾等於乾立亨開立或繼續維持帳戶, 及乾立亨向本人 / 吾等提供金融服務無關的其他金融、保險或產品供應商之用。

The terms in English version, as opposed to the Chinese translation, shall be deemed to be conclusive and definitive.
本開戶表之英文本 (相對中文譯本而言) 為確實及有最終效力。

Client's Signature:

客戶簽署:

Name

姓名

Date

日期

Declaration by Representative of Premier Q Securities Limited

由乾立亨證券有限公司之代表作出聲明

I, _____ (name of representative) have fully explained and provided the contents of the Investment Advisory Agreement, including but not limited to this Client Information Sheet, the General Terms and Conditions, the Additional Terms and Conditions, the Disclaimers, the Data Privacy Policy and the Risk Disclosure Statement to _____ (name of client) in a language which he/she/they understand(s) and have invited the client to read, ask questions and take independent advice if the client wishes.

本人 _____ (持牌代表姓名)，經以他/他們明白的語言，向 _____ (客戶姓名)，邀請其閱讀，提出問題及徵求獨立意見（如客戶有此意願），並已全部清楚解釋投資顧問服務協議書，當中包括，但不限於本客戶資料書、一般條款、附加條款、免責聲明、私隱政策及風險披露聲明書內的內容。

Signed by Licensed Representative 持牌代表簽署	SFC CE No. 證監會中央編號	Date 日期
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Note 注意:

- Please attach an ID card copy of all account holders with this application form.
請隨本申請表格附上全部帳戶持有之身份證副本。
- Client can choose residential address, business address, correspondence address or e-mail address as all the statements will be sent to this address. P.O. Boxes are not accepted. Please attach the address proof of the residential address dated within the **latest 3 months**.
客戶可選擇以住宅地址、商業地址、通訊地址或電郵地址收取帳戶結單。郵政信箱恕不接受。請附上在**最近三個月內**之住宅地址證明文件

For Office Use Only 只供本公司專用

Premier Q Group hereby appoints the following Account Executive(s) being licensed by Securities and Futures Commission or such other licensed person as from time to time it may permit and notify the Client in writing, to be primarily responsible for the affairs of the Client in respect of the Client's account(s) with Premier Q Group:

Name of Account Executive 持牌代表名稱:

1.	Mr./Ms.		CE No.	
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Document & Client Information checked by:

Name of staff & signature		Date	
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ACKNOWLEDGED AND ACCEPTED BY AN AUTHORIZED SIGNATORY(IES) FOR AND ON BEHALF OF PREMIER Q SECURITIES LIMITED
經由乾立亨證券有限公司之授權代表確認及接納

Authorized Signature(s) 授權代表簽署	Name of Authorized Person 授權代表姓名	Date 日期
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